

Item No. 401S
Structural Excavation and Backfill

401S.1 Description

This item shall govern the excavation for placement of structures, except pipe sewers, the disposal of such excavated material and the backfill around completed structures to the level of the original ground or grade indicated on the Drawings. The work shall include all necessary pumping or bailing, sheathing, drainage, and the construction and removal of any required cofferdams. Unless otherwise indicated on the Drawings, the work included hereunder shall provide for the removal of old structures or portions thereof (abutments, buildings, foundations, wingwalls, piers, etc.), trees and all other obstructions necessary to the proposed construction.

Where excavation is not classified, it will be grouped under "Unclassified Structural Excavation", which shall include the removal of all materials encountered regardless of their nature or the manner in which they are removed.

Where excavation is classified, it shall be classed as "Common Structural Excavation" or "Rock Structural Excavation" in accordance with the following criteria:

"Common Structural Excavation" shall include the removal of all materials other than rock.

"Rock Structural Excavation" shall include the removal of firm and compact materials that cannot be excavated with power equipment, without first being loosened or broken by blasting, sledging or drilling.

This specification is applicable for projects or work involving either inch-pound or SI units. Within the text and accompanying tables, the inch-pound units are given preference followed by SI units shown within parentheses.

401S.2 Submittals

The submittal requirements of this specification item may include:

Supplier and certified test results for fine aggregate/sand material

Supplier and certified test results for flexible base material

Mix design and test results for lime stabilized subgrade material

Mix design and test results for Class J Concrete Base

Supplier and certified test results for granular material (coarse aggregate, foundation rock and pea gravel)

Mix design and test results for cement-stabilized backfill

Mix design and test results for controlled low strength material (CLSM)

Excavation Safety System Plan for proposed cofferdams, trench excavation and special shoring installations

401S.3 Materials

A. Sand

1. Fine aggregate sand shall be Grade 1 conforming to Standard Specification Item No. 302S, "Aggregates for Surface Treatments".
2. Native Sand shall be local material obtained from approved sources and subject to the approval of the Engineer or designated representative.

B. Flexible Base

Flexible base shall conform to the requirements of Standard Specification Item No. 210S, "Flexible Base".

C. Lime Stabilized Base

Lime stabilized base shall conform to the requirements of Standard Specification Item No. 202S, "Hydrated Lime and Lime Slurry" and Item No. 203S, "Lime Treatment for Materials in Place".

D. Concrete Base

Concrete base shall conform to a Class J Concrete as defined in Standard Specification Item No. 403S, "Concrete for Structures".

E. Granular Material

1. Coarse aggregate shall conform to the requirements of section 403S.3.C of Standard Specification Item No. 403S "Concrete for Structures".
2. Foundation Rock
Foundation rock shall be well graded, hard, durable coarse aggregate ranging in size from 2 to 6 inches (50 to 150 mm).
3. Pea Gravel
Pea gravel shall consist of hard, durable, opaque gravel, free of clay, loam, sand or other foreign substances, ranging in size from 1/4 inch to 3/8 inch (6.4 to 9.5 mm) conforming to ASTM C 33.

F. Cement Stabilized Backfill

Cement stabilized backfill shall contain aggregate, water and a minimum of 7% hydraulic cement based on the dry weight of the aggregate in accordance with TxDOT Test Method Tex-120-E, "Soil-Cement Testing". Unless directed otherwise

on the Drawings, the aggregate shall be clean sand approved by the Engineer or designated representative.

G. Controlled Low Strength Material

Controlled low strength material (CLSM) shall conform to Standard Specification Item No. 402S, "Controlled Low Strength Material" and shall be approved by the Engineer or designated representative.

401S.4 Construction Methods

A. Erosion Control and Tree Protection

Prior to commencement of this work, all required erosion control and tree protection measures indicated on the Drawings shall be in place. The existing utilities shall be located and protected as specified in the Standard Contract Documents, Section 00700, "General Conditions" and/or indicated on the Drawings. A permit shall be required when utility adjustments are to be made in preparation for construction in the right-of-way, as specified in Section 5.2.0 of the City of Austin Utilities Criteria Manual.

Areas within the construction limits indicated on the Drawings shall be cleared of all trees, stumps, brush, etc., except trees or shrubs scheduled for preservation which shall be carefully trimmed as directed by the Engineer or designated representative, in accordance with Standard Specification Item No. 610S, "Preservation of Trees and Other Vegetation" and shall be protected from scarring, barking or other injuries during construction operations. All exposed cuts over 2 inches (50 millimeters) in diameter, exposed ends of pruned limbs or scarred bark shall be treated with an approved asphalt material within 24 hours of the pruning or injury.

Construction equipment shall not be operated nor construction materials stockpiled under the canopies of trees, unless otherwise indicated on the Drawings and/or specified in the Contract Documents. Excavation or embankment materials shall not be placed within the drip line of trees until tree wells are constructed.

Within the construction limits or areas indicated, all obstructions, stumps, roots, vegetation, abandoned structures, rubbish and objectionable material shall be removed to the following depths:

1. In areas to receive 6 inches (150 mm) or more embankment, a minimum of 12 inches (300 mm) below natural ground.
2. In areas to receive embankment less than 6 inches (150 mm), a minimum of 18 inches (450 mm) below the lower elevation of embankment, structure or excavation.
3. In areas to be excavated a minimum of 18 inches (450 mm) below the lower elevation of the embankment, structure or excavation.
4. In all other areas a minimum of 12 inches (300 mm) below natural ground.

When abandoned storm drains, sewers or other drainage systems are encountered they shall be removed as required to clear the new structure and plugged in a manner approved by the Engineer or designated representative.

Holes remaining after removal of all obstructions, objectionable material, trees, stumps, etc. shall be backfilled with select embankment material and compacted by approved methods. All cleared and grubbed material shall be disposed of in a manner satisfactory to the Engineer or designated representative. Unless otherwise provided, all materials as described above shall become the property of the Contractor and removed from the site and disposed of at a permitted disposal site.

Burning materials at the site shall conform to Standard Contract Document Section 01550, "Public Safety and Convenience".

B. Excavation

1. Excavation shall be done in accordance with the lines and depths indicated on the Drawings or as established by the Engineer or designated representative. Unless otherwise indicated on the Drawings or permitted by the Engineer or designated representative no excavation shall be made outside a vertical plane 3 feet (0.9 meter) from the footing lines and parallel thereto.

When structures are installed in streets, highways or other paved areas, the pavement and base shall be cut to neat lines. After completion of the excavation and backfilling, the pavement structure shall be restored to the satisfaction of the Engineer or designated representative.

2. Slopes, benching, sheeting, bracing, pumping and bailing shall be provided as necessary to maintain the stability and safety of excavations up to 5 feet (1.5 meters) deep. Excavation protection for excavations deeper than 5 feet (1.5 meters) shall be governed by Standard Specification Item No. 509S, "Excavation Safety Systems".
3. Excavation shall conform to elevations indicated on the Drawing or raised or lowered by written order of the Engineer or designated representative, when such alterations are judged proper. When it is deemed necessary to increase or decrease the plan depth of footings, the alterations in the details of the structure shall be as directed by the Engineer or designated representative. The Engineer or designated representative shall have the right to substitute revised details resulting from consideration of changes in the design conditions.
4. When a structure is to rest on an excavated surface other than rock, special care shall be taken not to disturb the bottom of the excavation and the final excavation to grade shall not be performed until just before the footing is placed. Equipment selected and used by the Contractor for excavation which results in disturbance of what was otherwise stable subgrade material, as shown by laboratory tests, will not be used as a justification for payment for excavating to extra depth or for payment for stabilizing materials which may be ordered by the Engineer or designated representative.

5. Excavated material required to be used for backfill may be deposited by the Contractor in storage piles as indicated on the Drawing or at points convenient for its rehandling during the backfilling operations, subject to the approval of the Engineer or designated representative, who may require that the survey center line of the structure and the transverse or hub line of any unit of the structure be kept free of any obstruction. The Contractor shall adjust any stockpiles, to facilitate surveying and the work of other Contractors working in the immediate proximity, as directed by the Engineer or designated representative.
6. Excavated material required to be wasted shall be disposed of as directed by the Engineer or designated representative, in a manner which will not obstruct the stream or otherwise impair the efficiency or appearance of the structure or other part of the work.
7. For all single and multiple box culverts, pipe culverts, pipe arch culverts and box sewers of all types, where the soil encountered at established footing grade is a quicksand, muck or similar unstable material, the following procedure shall be used unless other methods are indicated:
 - a) The depth to which unstable material is removed will be determined by the Engineer or designated representative. It will not exceed 2 feet (0.6 meter) below the footing of culverts that are 2 feet (0.6 meter) or more in height and will not exceed the height of culverts for those less than 2 feet (0.6 meter) high. Excavation shall be carried at least 1 foot (0.3 meter) horizontally beyond the limits of the structure on all sides. All unstable soil removed shall be replaced with suitable stable material, in uniform layers of suitable depth for compaction as directed by the Engineer or designated representative. Each layer shall be wetted, if necessary and compacted by rolling or tamping as required to provide a stable foundation for the structure. Soil, which has sufficient stability to properly sustain the adjacent sections of the roadway embankment, will be considered a suitable foundation material.
 - b) When, in the opinion of the Engineer or designated representative, it is not feasible to construct a stable footing as outlined above, the Contractor shall construct it by the use of special materials, such as flexible base, cement stabilized base, cement stabilized rockfill or other material, as directed by the Engineer or designated representative. This work will be paid for as provided in Section 401S.9, "Payment".
8. When the material encountered at footing grade of a culvert is found to be partially rock or incompressible material and partially a compressible soil which is satisfactory for the foundation, the incompressible material shall be removed for a depth of 6 inches (150 mm) below the footing grade and backfilled with a compressible material similar to that used for the rest of the structure.
9. When the material encountered at footing grade of a bridge bent or pier is found to be partially of rock or incompressible material, and partially of a compressible material, the foundation shall not be placed until the Engineer

or designated representative has inspected the footing and authorized such changes found necessary to provide an adequate foundation.

401S.5 Bridge Foundations and Retaining Walls

The material below the bottom of the footing grade shall not be disturbed. Backfill material shall not be used to compensate for excavation that is extended below the proposed footing grade. When excavation is carried below the proposed footing grade, the over excavated area shall be filled with concrete at the time the footing is placed. The additional concrete placement shall be at the Contractor's sole expense.

When required by the Engineer or designated representative, cores shall be taken to determine the character of the supporting material(s). The cores shall be taken when the excavation is nearing completion and shall be an intact sample adequate to judge the character of the founding material. The cores shall be acquired at a minimum depth of 5 feet (1.5 meters) below the proposed footing founding grade.

When the founding stratum is rock or other hard material, all loose material shall be removed and the founding grade cleaned and cut to a firm surface that is level, stepped or serrated as directed by the Engineer or designated representative. All soft seams shall be cleaned and filled with concrete at the time the footing is placed.

When the material at the footing grade of a retaining wall, bridge bent or pier is a mixture of compressible and incompressible material, the foundation shall not be placed until the Engineer or designated representative has inspected the excavation and authorized changes to provide a uniform bearing condition.

401S.6 Cofferdams

The term cofferdams, whenever used in this specification, designates any temporary or removable structure constructed to hold the surrounding earth, water or both, out of the excavation, whether the structure is formed of earth, timber, steel, concrete or a combination of these. It includes earthen dikes, timber cribs, any type of sheet piling, removable steel shells and the like and all necessary bracing and it shall be understood also to include the use of pumping wells or well points for de-watering. The cost of cofferdams, when required, shall be included as a part of the bid price for excavation.

It is the intent of this specification to require that a suitable cofferdam be provided, when necessary, to insure that the foundation may be placed in a dry condition, as to preclude sliding and caving of the walls of the excavation. The cofferdam shall conform with the requirements of Standard Specification Item No. 509S, "Excavation Safety Systems" and shall provide a safe work area with sufficient clearance for the construction, inspection and removal of required forms and, if necessary, sufficient room to allow pumping outside the forms. Where no ground or surface water is encountered, the cofferdam need be sufficient only to protect the workmen and to avoid cave-ins or slides beyond the excavation limits.

Unless otherwise indicated on the Drawings, cofferdams shall be removed by the Contractor after the completion of the substructure without disturbing or marring the structure.

401S.7 De-Watering

Structures shall not be constructed or placed in the presence of water unless otherwise approved by the Engineer or designated representative. Precast members, pipe and concrete shall only be placed on a dry, firm surface. Water shall be removed by bailing, pumping, well-point installation, deep wells, underdrains or other approved method.

When structures are approved for placement in the presence of water, standing water shall be removed in a manner that shall preclude the possibility of the movement of water through or alongside any concrete being placed. Pumping or bailing will not be permitted during the placing of concrete or for a period of at least 36 hours thereafter, unless from a suitable sump separated from the concrete work by a water-tight wall.

Pumping or bailing during placement of seal concrete shall only be allowed to the extent necessary to maintain a static head of water within the cofferdam. De-watering inside a sealed cofferdam shall not commence until the seal has aged a minimum of 36 hours.

When the bottom of an excavation cannot be de-watered to the point that the subgrade is free of mud or it is difficult to keep the reinforcing steel clean a stabilizing material (e.g. flexible base, cement-stabilized-backfill or lean concrete) shall be placed in the bottom of the excavation. When a lean concrete is used, the concrete shall include a minimum of 275 Pounds of cement per cubic yard (163 kilograms of cement per cubic meter) and be placed to a minimum depth of 3 inches (75 mm). Stabilizing material that is placed for the convenience of the Contractor will be at the Contractor's own expense.

401S.8 Backfilling

A. General

As soon as practicable, all portions of excavation not occupied by the permanent structure shall be backfilled. Back-fill material shall be free from stones large enough to interfere with compaction, large or frozen lumps that will not break down readily under compaction, wood or other extraneous material. Backfill material shall be approved by the Engineer or designated representative.

That portion of backfill which will support any portion of completed roadbed, retaining wall or embankment shall be placed in layers not more than 8 inches (200 mm) in depth (loose measurement) and shall be compacted to meet the density requirements of the roadbed, retaining wall, embankment material, or as indicated on the Drawings.

That portion of backfill which will not support any portion of completed roadbed or embankment shall be placed in layers not more than 10 inches (250 mm) in depth (loose measurement) and shall be compacted to a minimum of 95 percent of

maximum density as determined by TxDOT Test Method Tex-114-E and the re-excavated to the proper grade and dimensions.

If the excavation has been made through a hard material resistant to erosion, the backfill around piers and in front of abutments and wings may be ordered by the Engineer or designated representative to be of stone or lean concrete. Unless otherwise indicated on the Drawings, such backfill shall be paid for as extra work.

That portion of the backfill which will support any portion of the roadbed, retaining wall or embankment shall be placed in uniform layers not more than 8 inches (200 mm) in depth (loose measurement) and shall be compacted to a minimum of 95 percent of maximum density, as determined by TxDOT Test Method Tex-114-E and then re-excavated to the proper grade and wetted uniformly to the moisture content required to obtain the specified density and shall be compacted to that density by means of mechanical tampers or rammers, except that the use of rolling equipment of the type generally used in compaction embankments will be permitted on portions which are accessible to such equipment.

All portions of embankment too close to any portion of a structure to permit compaction by the use of the blading and rolling equipment used on adjoining sections of embankment, shall be placed and compacted with mechanical tamps and rammers to avoid damage to the structure.

These provisions require mechanical compaction by means of either rolling equipment or mechanical tampers or rammers, of all backfill and embankment adjoining the barrels and wingwalls or culverts and adjoining all sides of bridge abutments and retaining walls, regardless of whether or not such embankment or backfill is above or below the original surface of the ground and regardless of whether the excavation at structure site was performed conforming to Standard Specification Item No. 111S, "Excavation", this item 401S, "Structural Excavation", Standard Specification Item No. 110S, "Street Excavation" or Standard Specification Item No. 120S, "Channel Excavation". Unless otherwise indicated on the Drawings, hand tamping will not be accepted as an alternate for mechanical compaction.

As a general rule, material used in filling or backfilling the portions described in this paragraph shall be an earth, free of any appreciable amount of gravel or stone particles larger than 4 inches (100 mm) in greater dimension and of a gradation that permits thorough compaction. When, in the opinion of the Engineer or designated representative, such material is not readily available, the use of rock or gravel mixed with earth will be permitted, provided that no particles larger than 12 inches (300 mm) or smaller than 6 inches (150 mm) may be used. The percentage of fines shall be sufficient to fill all voids and insure a uniform and thoroughly compacted mass of proper density. When required by the Drawings or by written order of the Engineer or designated representative, cement-stabilized-backfill material shall be used for backfilling.

All portions of fill and backfill described in the preceding paragraph shall be compacted to the same density requirements specified for the adjoining sections of embankment in accordance with the governing specifications. Where no embankment is involved on the project and no relevant specifications are included in the contract, all backfill shall be compacted to a density comparable with the adjacent undisturbed material.

No backfill shall be placed against any abutment or retaining wall until such structure has been in place at least 7 days. No backfill shall be placed adjacent to or over single and multiple boxes until the top slab has attained 500 psi (3450 kPa) flexural strength. Backfill placed around abutments and piers shall be deposited on both sides to approximately the same elevation at the same time.

Care shall be taken to prevent any wedging action of backfill against the structure and the slopes bounding the excavation shall be stepped or serrated to prevent such action. Backfill shall be uniformly placed around bridge foundations.

B. Pipe Culverts

The following requirements shall apply to the backfilling of pipe culverts in addition to the pertinent portions of the general requirements given in the preceding section.

Selected materials from excavation, borrow or other approved material shall be wetted, if required and placed along both sides of the pipe equally, in uniform layers not exceeding 8 inches (200 mm) in depth (loose measurement) and thoroughly compacted so that there shall be a berm of thoroughly compacted material on each side of the pipe. The method and degree of compaction shall be the same as specified above for portions of backfill within the limits of embankment or roadbed.

Filling and/or backfilling shall be continued in this manner to the elevation of the top of the pipe. Special care shall be taken to secure thorough compaction of the material placed under the haunches of the pipe to prevent damage or displacement of the pipe. All fill or backfill below the top of pipe shall be compacted mechanically in the manner and to the density prescribed above, regardless of whether or not such material is placed within the limits of the embankment or roadbed. In the case of pipe placed in trenches, that portion of the backfill above the top of the pipe which supports embankment or the roadbed shall receive mechanical compaction as specified above and the portion which will not support any portion of embankment or roadbed shall be placed in layers not more than 8 inches (200 mm) in depth (loose measurement) and shall be compacted by whatever means the Contractor chooses, to a density comparable with the adjacent, undisturbed material. Embankments above the top of pipe shall be placed conforming to Item No. 132S, "Embankment". During construction adequate cover must be provided to protect the structure from damage.

The Engineer or designated representative may reject backfill material that contains more than 20% by weight of material retained on a 3-in (75 mm) sieve, with large lumps not easily broken down, or that cannot be spread in loose layers. Material excavated by a trenching machine will generally meet the requirements of this Section as long as large stones are not present.

Where pipe extends beyond the toe of slope of the embankment and the depth of cover provided by backfill to the original ground level is less than the minimum required by the specifications for the type of pipe involved, additional material shall be placed and compacted until the minimum cover has been provided.

Whenever excavation is made for installing pipe culverts or box sewers across private property or beyond the limits of the embankment, the top soil removed in

excavating the trench shall be kept separate and replaced as nearly as feasible in its original position and the entire area involved in the construction operations shall be restored to a presentable condition.

C. Cement Stabilized Backfill

When indicated on the Drawings, trenches shall be backfilled to the elevations shown with cement stabilized backfill. The cement-stabilized backfill shall be placed equally along the sides of structures to prevent strain on or displacement of the structure.

Cement stabilized backfill below the spring line of pipe culverts shall be sufficiently plastic to completely fill all voids in the trench. Hand operated tampers may be used if necessary to fill the voids. The pipe shall be held in alignment by jacks or other suitable means to prevent the mortared joints from cracking due to displacement caused by placing the backfill material.

Cement stabilized backfill above the spring line of pipe culverts may be dry enough to be transported without special mixing equipment.

On structures other than pipe culverts, special mixing equipment will not be required to transport the cement stabilized backfill unless otherwise indicated on the Drawings.

D. Controlled Low Strength Material (CLSM)

When indicated on the Drawings the excavation shall be backfilled with CLSM to the elevations shown. The structure shall be prevented from being displaced or "floated out" during the placement of CLSM. The CLSM shall be prevented from entering culverts and drainage structures.

401S.9 Measurement

Unless otherwise indicated on the Drawings, structural excavation for pipe headwalls, inlets, manholes, culvert widening (extensions), bridge abutments and side road and private entrance pipe culverts will not be measured in the field but shall be included in the Plan Quantity unit price bid by the cubic yard (cubic meters: 1 cubic meter is equal to 1.308 cubic yards). Determination of plan quantities for structural excavation shall be made by the method of average end-areas using the following limits to establish templates for measurement.

- A. For all structures requiring measurement, except the barrels of pipe culverts, no material outside of vertical planes 1 foot (300 mm) beyond the edges of the footings and parallel thereto will be included.
- B. For the barrels of pipe culverts of 42 inches (1.09 meters) or less nominal or equivalent diameter, no material outside of vertical planes 1 foot (300 mm) beyond the horizontal projection of the outside surfaces of the pipe and parallel thereto will be included. For the barrels of pipe culverts more than 42 inches (1.09 meters) in nominal or equivalent diameter, no material outside of vertical planes located 2 feet

(600 mm) beyond the horizontal projection of the outside surfaces of the pipe and parallel thereto will be included.

- C. If a cofferdam, as herein defined, is used, the limitations indicated above shall apply just as if no cofferdams were used.
- D. Where excavation in addition to that allowed for the footings is required for other portions of the structure, such as for the cap, cross strut or tie beam of a pier or bent or for the superstructure, measurements for such additional excavation will be limited laterally by vertical planes 1 foot (300 mm) beyond the face of the member and parallel thereto and vertically to a depth of 1 foot (300 mm) below the bottom of such member.
- E. Except as allowed by the above conditions, no account will be taken of any excavation necessary for placing forms or falsework.
- F. Except at side road culverts, all street excavation called for on the contract drawings at all structure sites shall be assumed to be completed before starting the structural excavation and the measurement of structural excavation will include only material below or outside the limits of the completed street excavation. Excavation for side road and private entrance pipe culverts will not be measured for payment but shall be included in the unit price bid for this specification item.
- G. On all structures of bridge classification where the contract drawings call for channel excavation at the structure site, it shall be assumed to have been completed before starting the structural excavation and the measurement of structural excavation will include only material below or outside the limits of the completed channel section. The method of measurement for payment will be in accordance with this procedure regardless of the actual construction methods followed.
- H. Where excavation diagrams are indicated on the Drawings, they shall take precedence over these provisions.
- I. Measurement will not include materials removed below footing grades to compensate for anticipated swellage due to pile driving and it will not include material required to be removed due to swellage beyond the specified limits during pile driving operations.
- J. Measurement will not include additional yardage caused by slips, slides, cave-ins, siltings or fillings due to the action of the elements or the carelessness of the Contractor. Water will not be classed as excavated material.
- K. Where rock, other incompressible or unstable material is undercut to provide suitable foundation for pipe or box culverts, such material below grade, ordered by the Engineer or designated representative to be removed, will be measured for payment.
- L. Except for any required undercut, quantities for "Structural Excavation", as indicated on the Drawings, shall be considered as final quantities and no further measurement will be required, unless the alignment, grades or structure locations

are revised by the Engineer or designated representative during construction. Final determination of quantities for individual structures will be made, if in the opinion of the Engineer or designated representative or upon evidence furnished by the Contractor, substantial variations exist between quantities indicated on the Drawings and actual quantities due to changes in cross sections or apparent errors. Excavation quantities for foundations indicated on the Drawings where cofferdams are required shall be considered as final quantities and no further measurement will be made.

- M. For any footing, foundation or other structure unit within the scope of this specification, additional measurement will be made of the volume of excavation involved in the lowering or raising of the elevation of a footing, foundation or structure unit, when such grade change is authorized by the Engineer or designated representative. Measurement will be made by the addition to or the deduction from, the original quantities for the volume of excavation involved in the authorized grade change.
- N. Cement stabilized backfill shall be measured by the backfill diagram as indicated on the Drawings. The quantity of "Cement Stabilized Backfill" as indicated on the Drawings shall be considered as final quantities and no further measurement will be required, unless alignment or grade elevations as indicated are revised by the Engineer or designated representative. If such revisions result in an increase or decrease in this quantity, the final quantity will be revised by the amount represented by the changes in alignment or grade elevations.

401S.10 Payment

Payment for all work prescribed under this item and measured as provided above will be made at the unit bid price per cubic yard for the particular class of excavation specified on the Drawings in the amount shown on the Drawings and in the proposal. Payment for revised quantities will be made as specified above and for the removal of unstable and incompressible material as noted below.

Payment for removal and replacement of unstable or incompressible material below the footing grades of culverts and box sewers as indicated above will be made as follows:

When indicated on the Drawings or the Engineer or designated representative directs the use of special materials such as flexible base, concretebase, cement stabilized backfill, controlled low strength material or other special material, payment for excavation below the footing grades shall be made at the unit bid price for "Unclassified Structural Excavation", "Common Structural Excavation" or "Rock Structural Excavation", as the case may be. Payment for furnishing, hauling, placing and compacting the flexible base, concretebase, cement stabilized backfill, controlled low strength material or other special material will be made at the unit bid price for these items in the bid or in accordance with pertinent provisions for extra work.

Where special materials are not required or specified, the removal and replacement of the unstable material will be performed as described above. Payment therefore will be made at a price equal to 200 percent of the unit bid price per cubic yard for "Unclassified

Structural Excavation", "Common Structural Excavation" or "Rock Structural Excavation", as the case may be. The unit bid price shall include full compensation for removing the unstable or incompressible material, for furnishing, hauling, placing and compacting suitable material required to replace it and for all labor, equipment, tools and incidentals necessary to complete the work.

Payment for "Concrete Base", "Cement Stabilized Backfill" and "Controlled Low Strength Material" measured as prescribed above shall be made at the unit bid price per cubic yard. The unit bid price shall include full compensation for furnishing all materials, tools, labor, equipment, sheathing and incidentals required to perform the applicable work prescribed herein.

When the Engineer or designated representative judges it necessary to lower the structure footings to an elevation below the grade indicated on the Drawings, payment for the "Unclassified Structural Excavation", "Common Structural Excavation" or "Rock Structural Excavation" as the case may be, required below plan grade down to and including an elevation 5 feet (1.5 meters) below drawing grade for any individual footing will be made at a unit price equal to 115 percent of the contract unit bid price. Payment for the excavation from an elevation over 5 feet (1.5 meters) below plan grade down to and including an elevation 10 feet (3.05 meters) below plan grade will be made at a unit price equal to 125 percent of the contract unit bid price for "Unclassified Structural Excavation", "Common Structural Excavation" or "Rock Structural Excavation" as the case may be. No increase in unit price will be allowed for other bid items of the contract and no additional compensation will be allowed for any required cofferdam adjustments made necessary by such lowering of footings. These provisions shall not apply to the lowering of culverts, except when the flow line grade is lowered 1 foot (300 mm) or more below plan grade.

In cases where the extra depths required for any footing or footings exceeds 10 feet (3.05 meters), a supplemental agreement shall be made covering the quantities removed from depths in excess of 10 feet (3.05 meters) below plan grade.

No direct payment will be made for filling or backfilling around structures. Payment for the backfilling and compacting of areas, which were removed as structural excavation shall be included in the unit bid prices for the various classes of structural excavation.

At the end of each estimate period, the Engineer or designated representative shall determine the completed portion of the total work under Standard Specification Item No. 401S "Structural Excavation and Backfill" and payment shall be made accordingly.

Filling or backfilling of areas above the natural ground level or above the limits of street excavation or channel excavation sections shall be considered as Standard Specification Item No. 132S, "Embankment" and payment therefore shall be included in the unit prices bid for the various classes of Standard Specification Item No. 110S, "Street Excavation", Standard Specification Item No. 120S, "Channel Excavation" or Standard Specification Item No. 130S, "Borrow".

Where no channel excavation is provided for at culvert sites and where it is necessary to excavate beyond the limits of structural excavation, as herein described in order that the

culvert may function properly, such excavation shall be included with structural excavation as may be indicated on the Drawings.

Payment for all work prescribed under this item shall include full compensation for all excavation and backfill including compaction, all soundings, construction of all cofferdams, all dewatering and for furnishing all materials, labor, equipment, tools, sheathing, bracing, cofferdams, pumps, drills, explosives and incidentals necessary to complete the work, except for specific allowances stated above.

Special materials used or additional excavation made for the Contractor's convenience to expedite the work will not be paid for directly, but shall be included in the unit price bid for this specification item. In addition, if the Contractor's construction methods and equipment creates conditions necessitating usage of special materials or additional excavation, the work and materials will not be paid for directly, but shall be included in the unit price bid for this specification item.

When specified in the contract bid form as separate pay item(s), the item(s) will be paid for at the contract unit price(s) for "Flexible Base", "Lime Stabilized Base" and "Controlled Low Strength Material". The bid prices shall include full compensation for all Work herein, specified, including the disposal of all material not required in the Work, the furnishing of all material, equipment, tools, labor and incidentals necessary to complete the Work.

Payment will be made under one of the following:

- | | | |
|-----------------------------|--|------------------|
| Pay Item No. 401S-A: | Unclassified Structural Excavation, Plan Quantity. | Per Cubic Yard. |
| Pay Item No. 401S-B: | Common Structural Excavation | Per Cubic Yard. |
| Pay Item No. 401S-C: | Rock Structural Excavation | Per Cubic Yard. |
| Pay Item No. 401S-D: | Concrete Base | Per Cubic Yard. |
| Pay Item No. 401S-E: | Cement Stabilized Backfill | Per Cubic Yard. |
| Pay Item No. 401S-F: | Flexible Base | Per Cubic Yard. |
| Pay Item No. 401S-G: | Lime Stabilized Base | Per Square Yard. |
| Pay Item No. 401S-H: | Controlled Low Strength Material | Per Cubic Yard. |
| Pay Item No. 401S-I: | Cofferdams, type _____ | Per Cubic Yard. |
| Pay Item No. 401S-J: | Dewatering | Per Cubic Yard. |

End

<i>SPECIFIC</i> CROSS REFERENCE MATERIALS
Standard Specification Item 401S, "Structural Excavation and Backfill"

City of Austin Standard Contract Documents

<u>Designation</u>	<u>Description</u>
Section 00700	General Conditions
Section 01550	Public Safety and Convenience

City of Austin Utilities Criteria Manual

<u>Designation</u>	<u>Description</u>
Section 5.2.0	Permit Information and Format

City of Austin Standard Specification Items

<u>Designation</u>	<u>Description</u>
Item No. 110S	Street Excavation
Item No. 111S	Excavation
Item No. 120S	Channel Excavation
Item No. 130S	Borrow
Item No. 132S	Embankment
Item No. 202S	Hydrated Lime and Lime Slurry
Item No. 203S	Lime Treatment for Materials in Place
Item No. 210S	Flexible Base
Item No. 302S	Aggregates for Surface Treatments

Item No. 402S	Controlled Low Strength Material
Item No. 403S	Concrete for Structures
Item No.509S	Excavation Safety Systems
Item No. 610S	Preservation of Trees and Other Vegetation

Texas Department of Transportation: Departmental Material Specifications

<u>Designation</u>	<u>Description</u>
DMS-4640	Chemical Admixtures for Concrete

American Society for Testing and Materials, ASTM

<u>Designation</u>	<u>Description</u>
ASTM C 33	Specification For Concrete Aggregates

Texas Department of Transportation: Manual of Testing Procedures

<u>Designation</u>	<u>Description</u>
Tex-114-E	Laboratory Compaction Characteristics & Moisture-Density Relationship of Subgrade & Embankment Soil
Tex-120-E	Soil-Cement Testing

<u>RELATED CROSS REFERENCE MATERIALS</u>
Standard Specification Item 401S, "Structural Excavation and Backfill"

Texas Department of Transportation: Standard Specifications for Construction and Maintenance of Highways, Streets, and Bridges

<u>Designation</u>	<u>Description</u>
--------------------	--------------------

Item 110	Excavation
Item 132	Embankment
Item 400	Excavation and Backfill for Structures
Item 401	Flowable Backfill
Item 402	Trench Excavation Protection
Item 403	Temporary Special Shoring
Item 421	Hydraulic Cement Concrete